

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Reinhard H.H. POETZSCH

Serial No.: 10/812,055

Filed: March 30, 2004

For: METHOD AND SYSTEM FOR
DERIVATIVES PRICING

Examiner: Ann E. LOFTUS

Art Unit: 3692

Confirmation No.: 1300

RESPONSE TO NON-COMPLIANT AMENDMENT
UNDER 37 C.F.R. § 1.121

M/S: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Madam:

The following remarks below are respectfully submitted in response to the Notice of Non-Compliant Amendment under 37 C.F.R. § 1.121.

Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.